Cabinet and Governor Appointed Agencies’ Performance Audit Action Item(s) & Status

IT Interface Controls

(See also [cabinet agency response](#) for full context to Washington State Auditor’s Office (SAO) report, September, 2018)

Five agencies and 13 interfaces were included in this performance audit.

SAO Recommendations summary (Rec):

1. To address issues with completeness, Agency 5 should:
   > Design and implement effective controls over the completeness of data transfers, such as reconciliations between sending and receiving systems

2. To address issues with security, Agency 2 should:
   > Limit access to the interface data to only those whose job duties specifically require access to the data
   > Develop and employ a process to periodically evaluate who has access to the interface files and remove access when it is no longer needed
   > Develop procedures for review, testing and approval of changes made by developers

3. To the state’s Office of Cyber Security: Continue to develop and provide that additional clarity or guidance to state agencies.

The table below shows the current status of action items the agency initiated to address issues identified in the performance audit report. Please see the [cabinet agency response](#) for additional context and any additional steps already taken.

For an explanation of the columns below, see the legend.

<table>
<thead>
<tr>
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</thead>
<tbody>
<tr>
<td>Rec. 1</td>
<td>Complete</td>
<td>Design and implement controls for completeness of data transfers.</td>
<td>5</td>
<td>N/A</td>
<td></td>
<td></td>
<td></td>
<td>This was completed before the performance audit was published</td>
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<tr>
<td>Rec. 2</td>
<td>Complete</td>
<td>Developed and implemented a process to limit access to interface files</td>
<td>2</td>
<td>N/A</td>
<td></td>
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<td>Completed April 20, 2018</td>
</tr>
<tr>
<td>Rec. 2</td>
<td>Complete</td>
<td>Develop procedures to document, track and approve changes made by developers</td>
<td>2</td>
<td>3/31/2019</td>
<td></td>
<td></td>
<td></td>
<td>Agency confirmed procedures developed</td>
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