

## Cabinet and Governor Appointed Agencies' Performance Audit Action Item(s) & Status

### IT Interface Controls

(See also [cabinet agency response](#) for full context to Washington State Auditor's Office (SAO) [report](#), September, 2018)

Five agencies and 13 interfaces were included in this performance audit.

SAO Recommendations summary (Rec):

1. To address issues with completeness, Agency 5 should:
  - > Design and implement effective controls over the completeness of data transfers, such as reconciliations between sending and receiving systems
2. To address issues with security, Agency 2 should:
  - > Limit access to the interface data to only those whose job duties specifically require access to the data
  - > Develop and employ a process to periodically evaluate who has access to the interface files and remove access when it is no longer needed
  - > Develop procedures for review, testing and approval of changes made by developers
3. To the state's Office of Cyber Security: Continue to develop and provide that additional clarity or guidance to state agencies.

The table below shows the current status of action items the agency initiated to address issues identified in the performance audit report. Please see the [cabinet agency response](#) for additional context and any additional steps already taken.

For an explanation of the columns below, [see the legend](#).

Issue/ Rec	Status	Action Steps	Lead Agency	Due Date	Current Resources?	Budget Impact?	Legislation Required?	Notes
Rec. 1	Complete	Design and implement controls for completeness of data transfers.	5	N/A				This was completed before the performance audit was published
Rec. 2	Complete	Developed and implemented a process to limit access to interface files	2	N/A				Completed April 20, 2018
Rec. 2	Complete	Develop procedures to document, track and approve changes made by developers	2	3/31/2019				Agency confirmed procedures developed.